

Sector Page 1 of 1

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/827,262

04/05/2001

John Gaskell

GRI 0024 PA

CONFIRMATION NO. 4350

FORMALITIES LETTER

Killworth, Gottman, Hagan & Schaeff, L.L.P. One Dayton Centre, Suite 500 Dayton, OH 45402-2023 *OC00000006063910*

Date Mailed: 05/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/11/2001 BSAYASI1 00000078 09827262

FILED UNDER 37 CFR 1.53(b)

01 FC:201 02 FC:202 03 FC:203 04 FC:205 355.00 OP 40.00 OP 54.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$94.
 - \$54 for 6 total claims over 20.
 - \$40 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 514.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMEN	TOTAL	AMOUNT	OF PAYMENT
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(\$)514.00

persons are required to

espond to a conection or i	Information driess it displays a valid ONIB control figure				
Complete if Known					
Application Number	09/827,262				
Filing Date	April 5, 2001				
First Named Inventor	John Gaskell				
Examiner Name					
Group Art Unit					
Attorney Docket No.	GRI 0024 PA				

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to: Deposit	Large Small				
Account Number	Entity Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe	ee Paid			
Deposit	Code (\$) Code (\$)				
Account Name	105 130 205 65 Surcharge - late filing fee or oath	65.00			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. X Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to				
Check X Credit card Money Order Other	Examiner action 113 1,840* 113 1,840*Requesting publication of SIR after				
FEE CALCULATION	Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 390 216 195 Extension for reply within second month				
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month				
404 740 004 005 1888 58 - 6-	118 1,390 218 695 Extension for reply within fourth month				
101 / 10 201 355 Utility filling fee 355.00	128 1,890 228 945 Extension for reply within fifth month				
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal				
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal				
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing				
	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 355.00	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional				
Fee from Ext <u>ra Claims below Fee Paid</u>	142 1,240 242 620 Utility issue fee (or reissue)				
Total Claims $26 - 20^{-1} = 6 \times 9 = 54.00$	143 440 243 220 Design issue fee				
Independent 4 - 3** = 1 x 40 = 40.00	144 600 244 300 Plant issue fee				
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner				
	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)				
103 18 203 9 Claims in excess of 20					
102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
109 80 209 40 ** Reissue independent claims over original patent	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$) 94.00	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65	5.00			

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	John D. Reed	Registration No. (Apomey/Agent)	46,506	Telephone	(937) 223-2050	
Signature	/M	Men		Date	July 5, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





Application of

Applicant

: John Gaskell

Serial No.

: 09/827,262

Filed

: April 5, 2001

Title

: DENTAL DEVICE

Docket

: GRI 0024 PA

Confirm No. : 4350

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents.

Washington, D.C. 20231, on July 5, 2001

BOX MISSING PARTS

Assistant Commissioner for Patents Washington, DC 20231

Sir:

FILING OF MISSING PARTS

Pursuant to the Notice to File Missing Parts, mailed on May 10, 2001, please find enclosed the statutory basic filing fee of \$355.00, as well as signed declaration, and the return copy of the Notice. Also enclosed is our check in the amount of \$65.00 as set forth in 37 CFR §1.16(e) to cover the late filing fee surcharge, in addition to \$94.00 to cover the claims in excess of twenty, as well as independent claims in excess of three, making the total remittance \$514.00

Respectfully submitted,

KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, L.L.P.

Registration No. 46,506

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JDR/mjt

Encls.